

Pronoun Resolution and The Influence of Syntactic and Semantic
Information on Discourse Prominence

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Introduction

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- b. Then he_{i/#j} ran home.

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- a. $\frac{\text{Luke}_i}{\text{SUBJECT}}$ punched $\frac{\text{Max}_j}{\text{OBJECT}}$
- b. Then $\text{he}_{i/\#j}$ ran home.

Account:

- An utterance-initial pronoun should be resolved to the most prominent entity in the previous utterance.
- Prominence is determined by syntactic prominence

SUBJECT > OBJECT > OTHERS

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AGENT > PATIENT > OTHERS

Overview

- Discourse Prominence Theory
- Experiments
- Discussion
- Conclusions

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 - CR.EQ: when semantic evidence shows that two independent referents refer to the same real-world entity, then establish equivalence among the referents.
 - CR.RA: when semantic information shows that a previous assignment is incorrect, make a reassignment.

Discourse Prominence Theory

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Jane_i thinks

she_i is sick.

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Jane_{*i*} thinks

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CR.PN (new <i>x</i>)

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Jane_{*i*} thinks

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CR.PRO ($x \leftarrow$ she)

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Greater number of operations in the repeated-name case cause longer reading times.

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1. Could it be determined by semantic information instead of syntactic information?
2. Could it be determined by multiple factors (e.g., Lappin and Leass, 1994)?

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discourse prominence determined by	preferred referent
syntactic prominence	(matrix) SUBJECT ★
semantic prominence	AGENT ●

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AGENT	He _i even managed to land a knockout punch.
PATIENT	He _j became bruised and bloodied all over.

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AGENT	He _{<i>i</i>} even managed to land a knockout punch.
PATIENT	He _{<i>j</i>} became bruised and bloodied all over.
SPLIT	Matt _{<i>j</i>} was easy for John _{<i>i</i>} to hit \emptyset _{<i>j</i>} .
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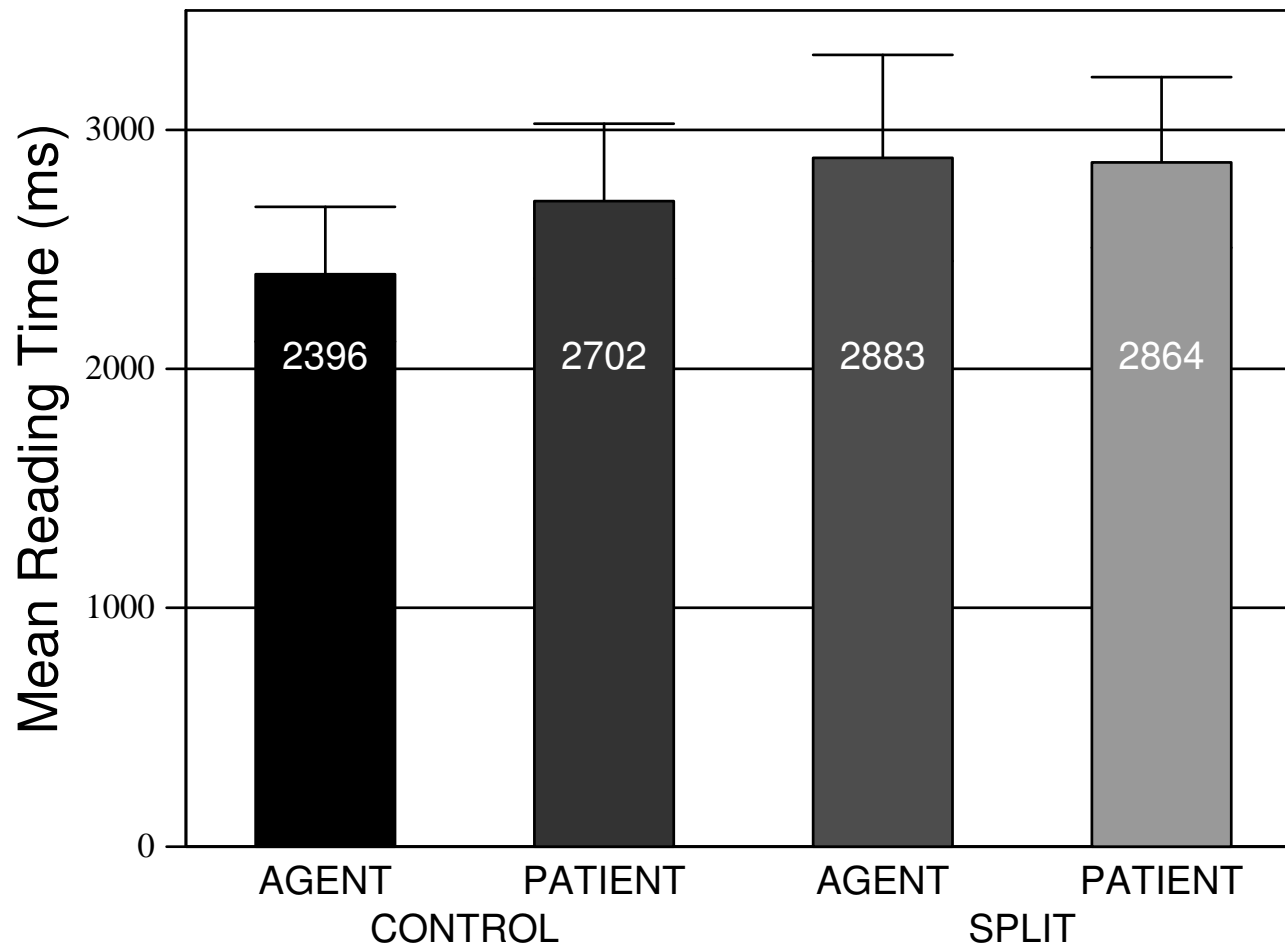
CONTEXT (CONTROL, SPLIT) \times REFERENT (AGENT, PATIENT)

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Procedures: Stimuli were shown one sentence at a time in a self-paced reading task. Measurements of the continuation sentence were recorded. Participants included 32 native-English speaking undergraduate students.



by participants

by items

CONTEXT	$F(1, 31) = 12.5$	$p < 0.005$	$F(1, 31) = 7.8$	$p < 0.01$
REFERENT	$F(1, 31) = 2.6$	n.s.	$F(1, 31) = 1.1$	n.s.
CONTEXT*REFERENT	$F(1, 31) = 2.2$	$p = 0.15$	$F(1, 31) = 4.3$	< 0.05

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John_{*i*} could easily hit Matt_{*j*}.

CR.PN (new *x*)

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AGENT	He _i even managed to land a knockout punch. <div>CR.PRO ($x \leftarrow$ he)</div>
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SPLIT	Matt _j was easy for John _i to hit \emptyset_j . CR.PN (new y) CR.PN (new x)
AGENT	He _i even managed to land a knockout punch. <div>CR.PRO (fail, new z), CR.EQ ($z = x$)</div>
PATIENT	He _j became bruised and bloodied all over. <div>CR.PRO (fail, new z), CR.EQ ($z = y$)</div>

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In DPT, this is explained by the greater number of construction rules required.

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Experiment 2a: CONTROL condition

AGENT	John _i could easily hit Matt _j .
PRONOUN	He _i even managed to land a knockout punch.
NAME	John _i even managed to land a knockout punch.
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Experiment 2b: SPLIT condition

AGENT	Matt _j was easy for John _i to hit \emptyset_j .
PRONOUN	He _i even managed to land a knockout punch.
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PATIENT	Matt _j was easy for John _i to hit \emptyset_j .
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REFERENT (AGENT, PATIENT) \times FORM (PRONOUN, NAME)

Experiment 2a-b

Experiment 2a: CONTROL Condition

	penalty	by participants		by items	
AGENT	83ms	$t(31) < 1.0$	n.s.	$t(31) < 1.0$	n.s.
PATIENT	-270ms	$t(31) = 2.5$	n.s.	$t(31) = 2.7$	$p = 0.07$

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- Negligible penalty for the AGENT continuation.

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Experiment 2b: SPLIT Condition

	penalty	by participants		by items	
AGENT	-168ms	$t(31) < 1.0$	n.s.	$t(31) = 1.4$	n.s.
PATIENT	-46ms	$t(31) < 1.0$	n.s.	$t(31) < 1.0$	n.s.

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Experiment 2b: SPLIT Condition

	penalty	by participants		by items	
AGENT	-168ms	$t(31) < 1.0$	n.s.	$t(31) = 1.4$	n.s.
PATIENT	-46ms	$t(31) < 1.0$	n.s.	$t(31) < 1.0$	n.s.

- Negligible penalty for either continuation.

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NAME	John _i even managed to land a knockout punch. <div>CR.PN (new z), CR.EQ ($z = x$)</div>

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In Gordon et al. (1993), candidate referents topicalized $x >> y$

In present study, candidate referents not topicalized $x > y, x = y$

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In Gordon et al. (1993), candidate referents topicalized $x \gg y$

In present study, candidate referents not topicalized $x > y, x = y$

Thus, perhaps the search for a suitable referent is influenced by the degree of difference between candidate referents.

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NAME	John _i even managed to land a knockout punch. <div>CR.PN (new z), CR.EQ ($z = x$)</div>
PATIENT	John _i could easily hit Matt _j . CR.PN (new x) CR.PN (new y)
PRONOUN	He _j became bruised and bloodied all over. <div>CR.PRO ($x \leftarrow$ he), CR.RA ($y \leftarrow$ he)</div>
NAME	Matt _i became bruised and bloodied all over. <div>CR.PN (new z), CR.EQ ($z = x$)</div>

Discussion

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- Refer to multiple prominence factors (cf., Lappin and Leass, 1994).
 - syntactic prominence
 - semantic prominence
- Relative discourse prominence of competing referents influences search process:
 - when $x \gg y$, rapidly choose x
 - when $x > y$, slowly choose x
 - when $x = y$, fail

Conclusions

Further Work

- Investigate the relative contribution of syntactic and semantic information to discourse prominence in a language with freer word order (e.g., Japanese).

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- Investigate the relative contribution of syntactic and semantic information to discourse prominence in a language with freer word order (e.g., Japanese).
- Evaluate a model which captures the influence of the relative prominence of referents on the time-course of pronoun resolution.

Thank You!

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Discourse Prominence Theory

In CR.PRO, how is a “suitable” referent determined? Gordon & Hendrick say it is the most discourse-prominent referent as determined by syntactic position. They discuss some consequences.

- a. John sent a package to Bill.
- b. He received it two days later.

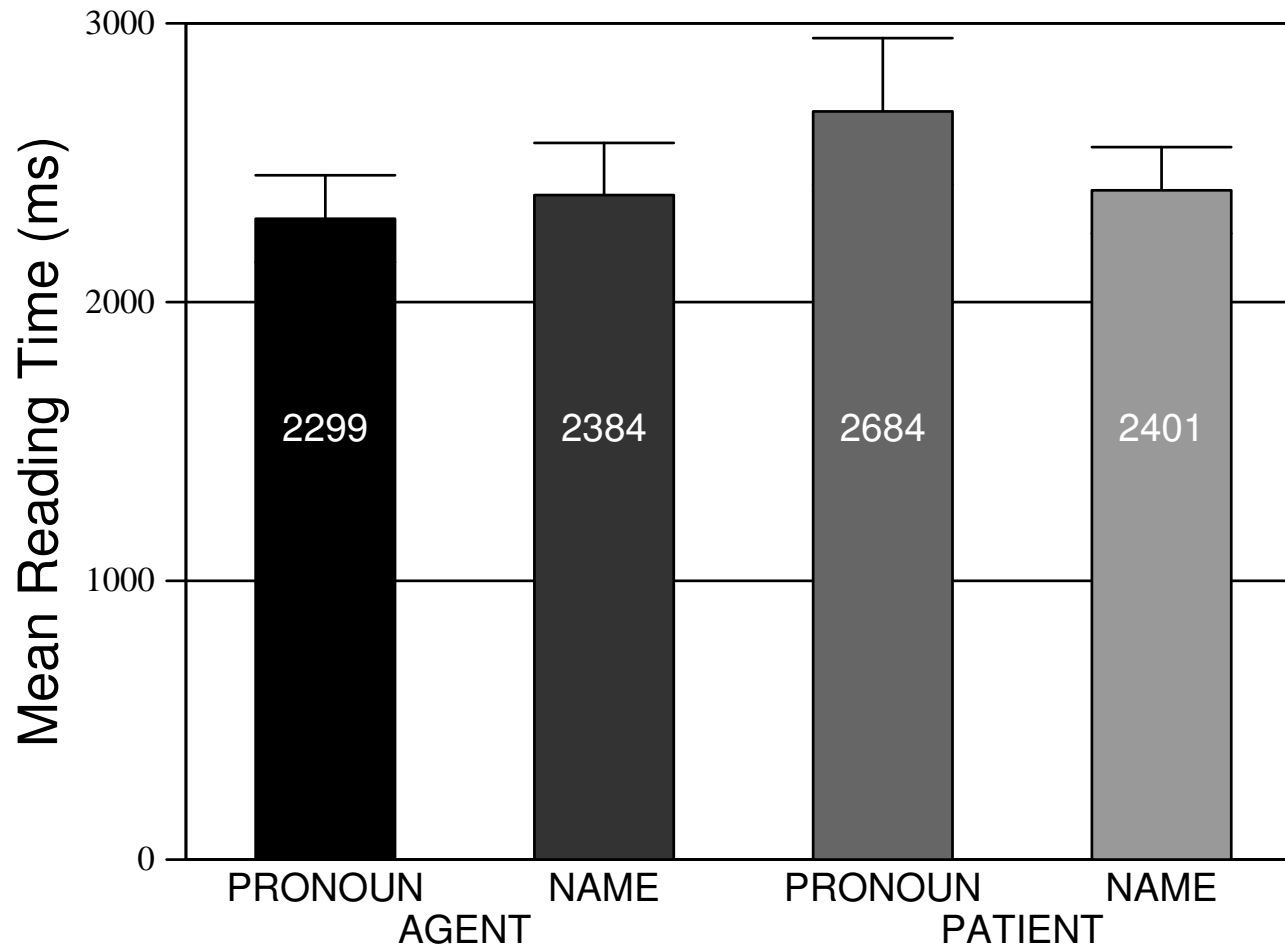
The pronoun is initially resolved to John, but later information and real-world knowledge shows this is incorrect. So, reanalysis is necessary.

Thus, I assume another construction rule here (implied by GH):

- CR.RA: when semantic information shows that a previous assignment is incorrect, make a reassignment.

But, could it be semantic information instead of syntactic information?

CONTROL Condition Reading Times



by participants

by items

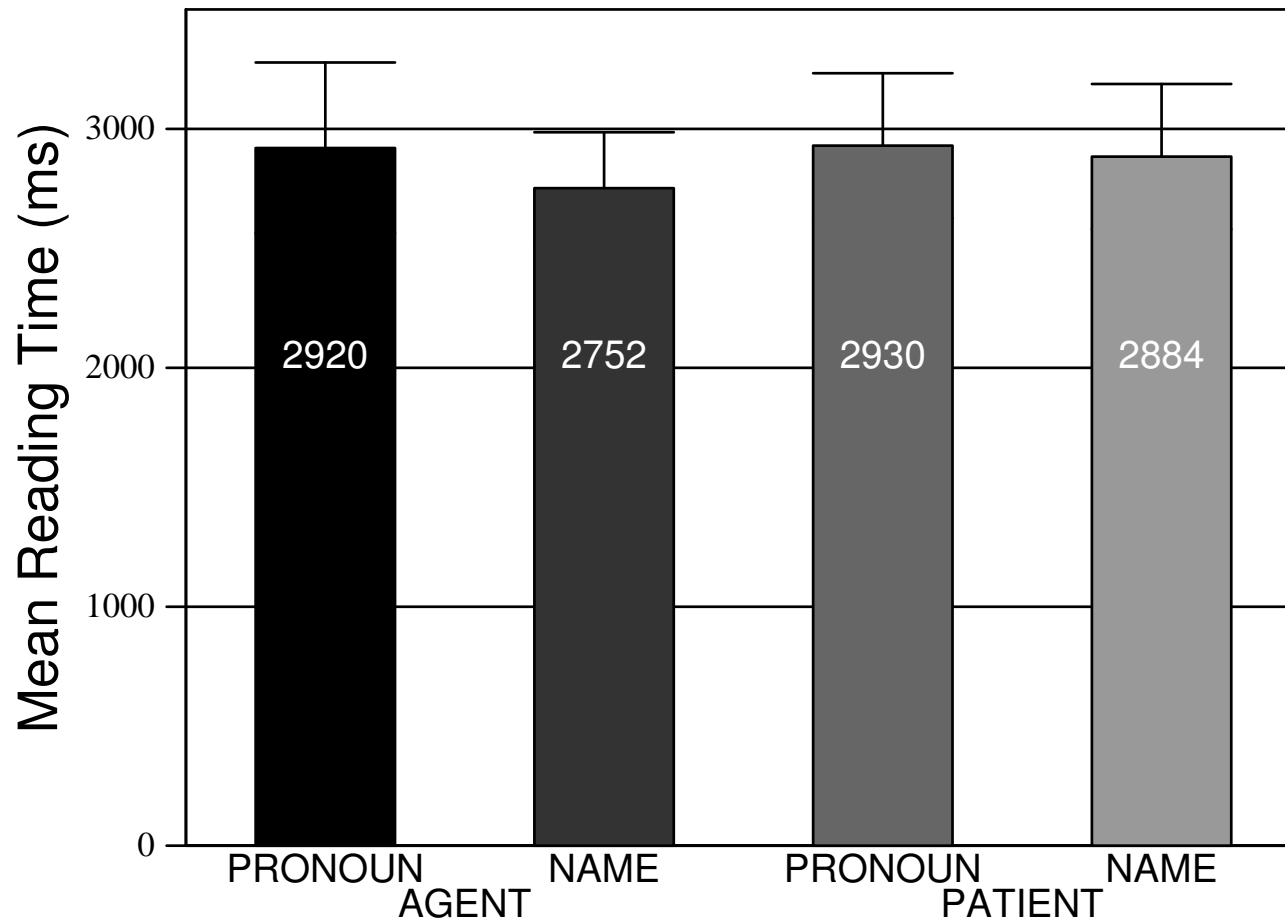
REFERENT	$F(1, 31) = 7.9$	$p < 0.01$	$F(1, 31) = 3.6$	$p = 0.067$
FORM	$F(1, 31) = 1.6$	<i>n.s.</i>	$F(1, 31) = 1.5$	<i>n.s.</i>
REFERENT*FORM	$F(1, 31) = 6.3$	$p < 0.05$	$F(1, 31) = 8.5$	< 0.01

Experiment 2a-b

CONTROL Condition

AGENT	John _i could easily hit Matt _j . CR.PN (new x) CR.PN (new y)
PRONOUN	He _i even managed to land a knockout punch. <div>CR.PRO ($x \leftarrow$ he)</div>
NAME	John _i even managed to land a knockout punch. <div>CR.PN (new z), CR.EQ ($z = x$)</div>
PATIENT	John _i could easily hit Matt _j . CR.PN (new x) CR.PN (new y)
PRONOUN	He _j became bruised and bloodied all over. <div>CR.PRO ($x \leftarrow$ he), CR.RA ($y \leftarrow$ he)</div>
NAME	Matt _i became bruised and bloodied all over. <div>CR.PN (new z), CR.EQ ($z = x$)</div>

SPLIT Condition Reading Times



	by participants		by items	
REFERENT	$F(1, 31) < 1.0$	n.s.	$F(1, 31) < 1.0$	n.s.
FORM	$F(1, 31) < 1.0$	n.s.	$F(1, 31) = 1.9$	n.s.
REFERENT*FORM	$F(1, 31) < 1.0$	n.s.	$F(1, 31) = 1.0$	n.s.

Experiment 2a-b

SPLIT Condition

AGENT	Matt _j was easy for John _i to hit \emptyset_j . CR.PN (new y) CR.PN (new x)
PRONOUN	He _i even managed to land a knockout punch. <div>CR.PRO (fail, new z), CR.EQ ($z = x$)</div>
NAME	John _i even managed to land a knockout punch. <div>CR.PN (new z), CR.EQ ($z = x$)</div>
PATIENT	Matt _j was easy for John _i to hit \emptyset_j . CR.PN (new y) CR.PN (new x)
PRONOUN	He _j became bruised and bloodied all over. <div>CR.PRO (fail, new z), CR.EQ ($z = y$)</div>
NAME	Matt _i became bruised and bloodied all over. <div>CR.PN (new z), CR.EQ ($z = y$)</div>