



# The Relative Contribution of Syntactic and Semantic Prominence in Pronoun Reference Resolution

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# Introduction

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Main Question: Are the observed effects of syntactic prominence actually the result of semantic prominence?



# Overview

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- Background
  - Discourse Salience

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- Specific Recommendations for RAP ([Lappin and Leass, 1994](#))

## Background: Discourse Salience

A discourse introduces and refers to a number of *referents* (Karttunen, 1976; Heim, 1982, 1983).

Degree to which a referent “stands out” in a discourse is its *salience*.

Most salient referent in current context is default antecedent for subsequent (inter-utterance) pronominal reference.

Factors contributing to salience: syntactic prominence, parallelism, recency, animacy, etc.

## Background: RAP

Resolution of Anaphora Procedure ([Lappin and Leass, 1994](#))

Pronoun is resolved to candidate with highest “salience index”

Salience Index determined from a number of sub-indices

- grammatical role
- recency
- grammatical parallelism

Accuracy Rate: 86%



## Background: Syntactic Prominence

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Lower part of hierarchy assumed, but never explicitly verified experimentally

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*hit*: (AGENT, PATIENT)

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*hit*: (AGENT, PATIENT)

Semantic prominence hierarchy:

AGENT > PATIENT > OTHERS

# Experiments

## Argument-reordering constructions

- active-passive alternation
- dative alternation
- locative alternation

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- active-passive alternation
  - John hit Matt.
  - Matt was hit by John.
- dative alternation
- locative alternation



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## Argument-reordering constructions

- active-passive alternation
- dative alternation
  - John gave the book to Matt.
  - John gave Matt the book.
- locative alternation

# Experiments

## Argument-reordering constructions

- active-passive alternation
- dative alternation
- locative alternation
  - John sprayed the paint on the wall.
  - John sprayed the wall with the paint.

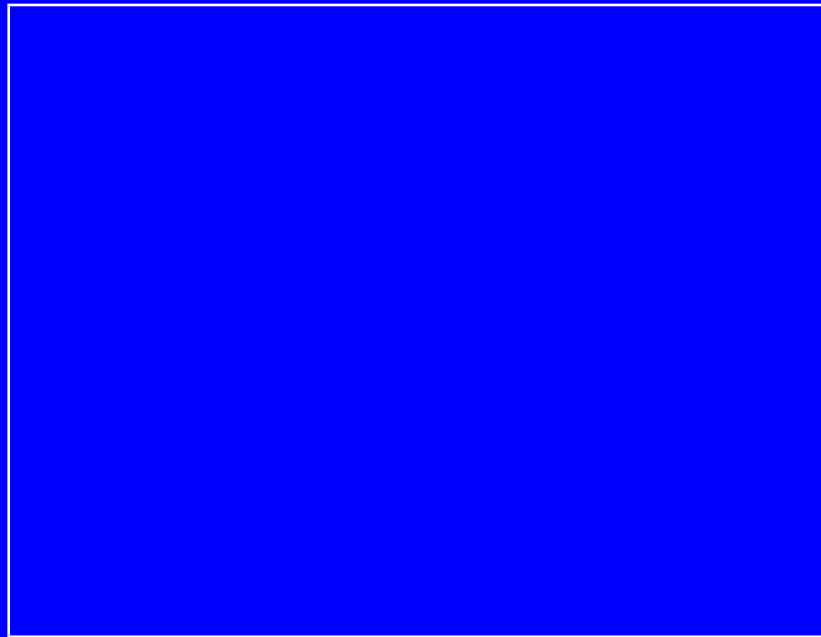
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## Argument-reordering constructions

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## Experiments: Method

Participants performed a self-paced reading task and whole-sentence reading times were measured.



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John hit Matt.

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He was angry.

## Experiments: Method

Participants performed a self-paced reading task and whole-sentence reading times were measured.

- Useful to examine environments which cause greater/lesser processing load
- Often used in pronoun-resolution experiments

# Experiment 1

---

a. John sprayed the paint<sub>*i*</sub><sup>★</sup> on the wall<sub>*j*</sub>. CONTROL

b. It ...

---

a'. John sprayed the wall<sub>*j*</sub><sup>★</sup> with the paint<sub>*i*</sub><sup>★</sup>. SPLIT

b. It ...

---

★ - syntactically prominent   ★ - semantically prominent



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b.	It <sub><i>i</i></sub> dribbled down and made a mess.	PATIENT
b'.	It <sub><i>j</i></sub> was big and needed two coats.	LOCATION
a'.	John sprayed the wall <sub><i>j</i></sub> <sup>★</sup> with the paint <sub><i>i</i></sub> <sup>★</sup> .	SPLIT
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CONTEXT (CTRL, SPLIT) × REFERENT (PAT, LOC)

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SPLIT

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CONTROL	PAT < LOC	PAT < LOC
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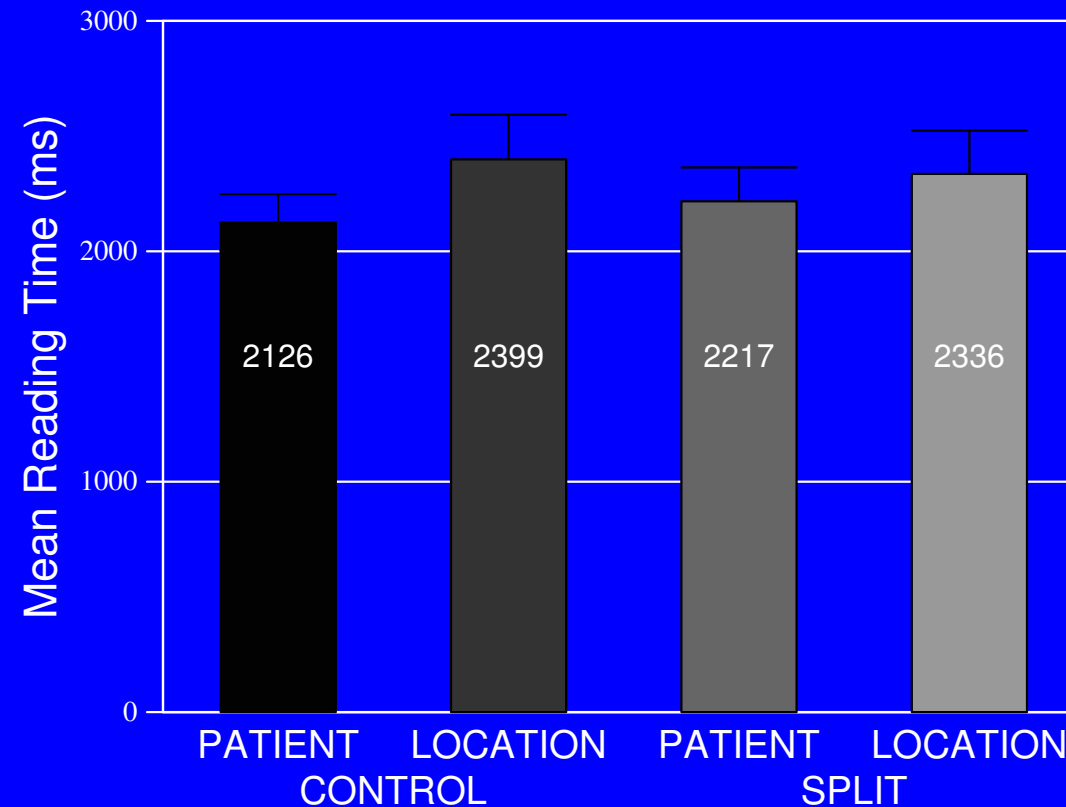
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CONTEXT (CTRL, SPLIT) × REFERENT (PAT, LOC)

Predicted RTs of continuations

	SYNPROM only	SEMPROM only
CONTROL	PAT < LOC	PAT < LOC
SPLIT	LOC < PAT	PAT < LOC

# Experiment 1: Results



REFERENT: [by subjects,  $F(1, 31) = 11.1$   $p < 0.005$ ; by items,  $F(1, 47) = 5.6$   $p < 0.05$ ]

CTRL-PAT vs. CTRL-LOC: [by subjects,  $t(31) = 3.6$   $p < 0.01$ ; by items,  $t(47) = 3.0$   $p < 0.05$ ]

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Salience of discourse referents is influenced by both syntactic prominence and semantic prominence.

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Two other possible conclusions:

- semantic prominence is more important than syntactic prominence, or
- prominence hierarchies are different (i.e., difference between PATs and LOCs is larger than difference between OBJs and OBLs)

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Problem: Relevant reading time comparisons are across different sentences:

It dribbled down and made a mess.	PATIENT
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Could observed variation have been caused by differences in structural complexity or lexical frequency?

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John went to the store.

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Extend this logic to definite descriptions in the spray/load stimuli.



## Experiment 2

- a. John sprayed the paint<sub>*i*</sub><sup>★</sup> on the wall<sub>*j*</sub>. CONTROL

## Experiment 2

- |       |   |             |
|-------|---|-------------|
| a.    | John sprayed the paint <sub><i>i</i></sub> <sup>★</sup> on the wall <sub><i>j</i></sub> . | CONTROL     |
| <hr/> |   |             |
|       | PATIENT   |             |
| b.    | It <sub><i>i</i></sub> dribbled down and made a mess.                                     | PRONOUN     |
| b'.   | The paint <sub><i>i</i></sub> dribbled down and made a mess.                              | DESCRIPTION |

## Experiment 2

a.	John sprayed the paint <sub><i>i</i></sub> <sup>★</sup> on the wall <sub><i>j</i></sub> .	CONTROL
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b.	It <sub><i>i</i></sub> dribbled down and made a mess.	PRONOUN
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Predicted penalties  
 SYNPROM only  
 SEMPROM only

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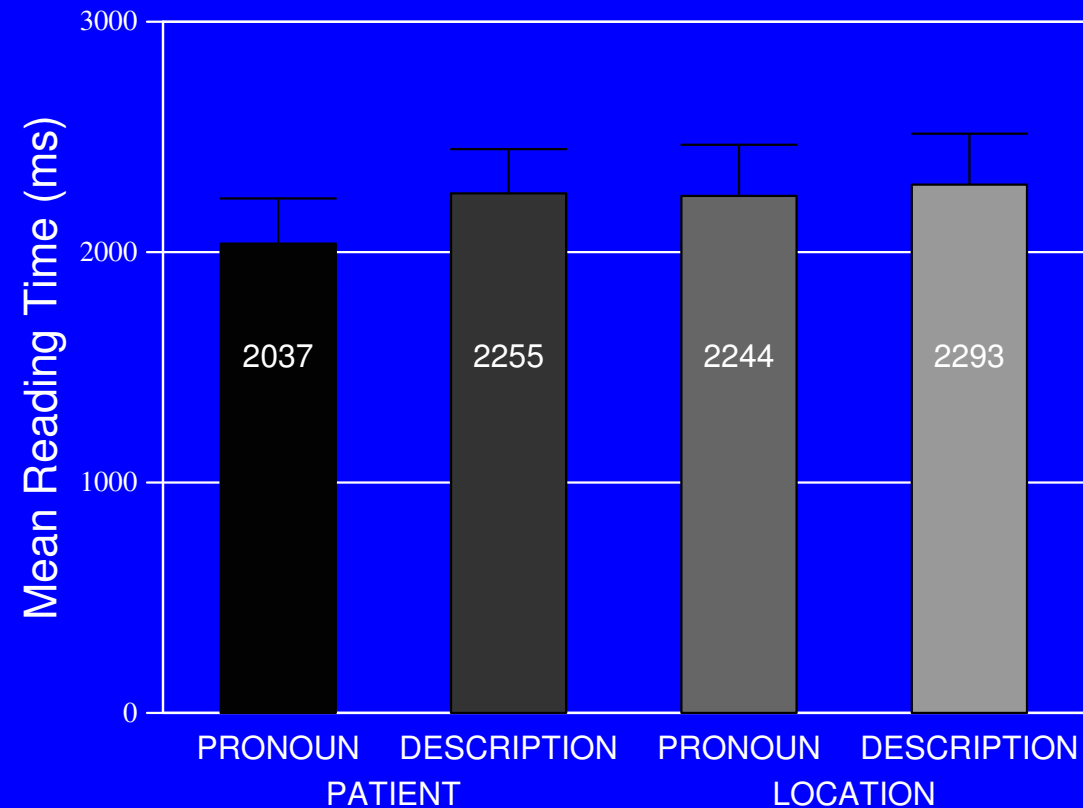
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Predicted penalties  
 SYNPROM only    PAT > LOC  
 SEMPROM only    PAT > LOC

## Experiment 2: Results



	penalty	by subjects		by items	
PATIENT	218ms	$t(31) = 3.51$	$p < 0.005$	$t(47) = 3.5$	$p < 0.005$
LOCATION	49ms	$t(31) < 1.0$	n.s.	$t(47) < 1.0$	n.s.

PAT vs. LOC penalty pairwise  $t$ -test: by subjects,  $t(31) = 1.7$   $p = 0.1$ ; by items,  $t(47) = 2.4$   $p < 0.05$



## Experiment 3

a'. John sprayed the wall <sub>$j$</sub> <sup>\*</sup> with the paint <sub>$i$</sub> <sup>\*</sup>.

SPLIT

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PATIENT	
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b'. The paint <sub>i</sub> dribbled down and made a mess.	DESCRIPTION
LOCATION	
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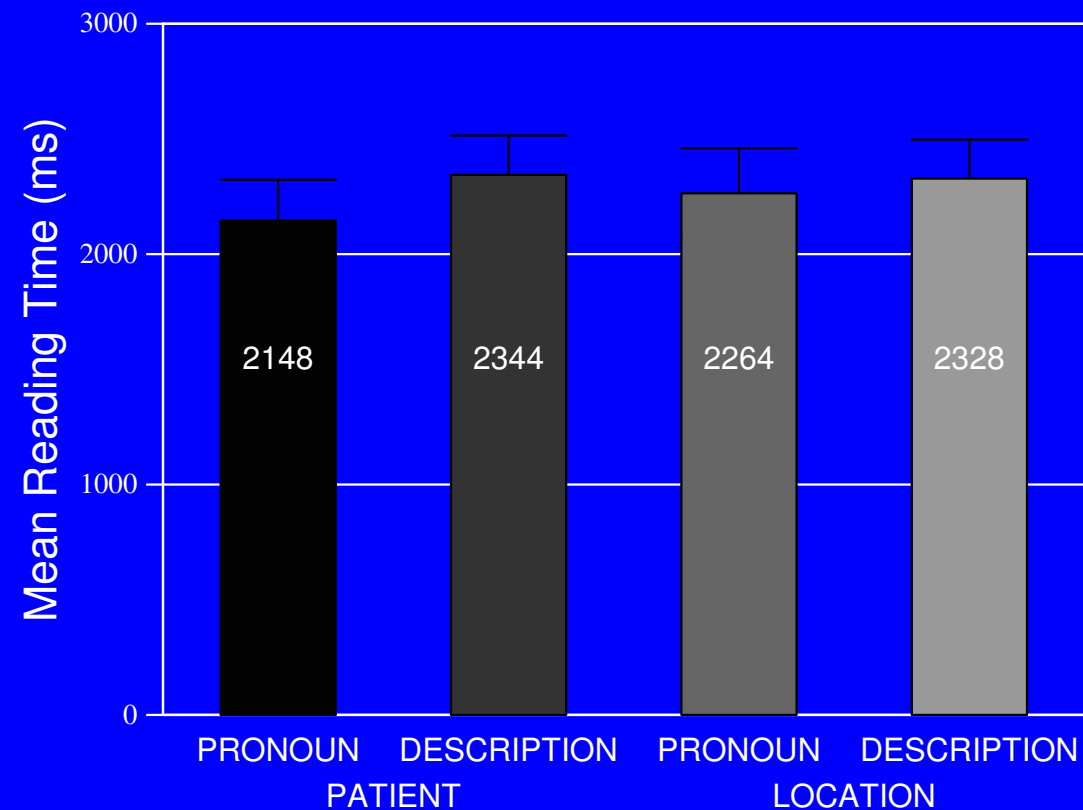
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REFERENT (PAT, LOC) × FORM (PRO, DSCR)

Predicted penalties  
 SYNPROM only PAT < LOC  
 SEMPROM only PAT > LOC

# Experiment 3: Results



	penalty	by subjects		by items	
PATIENT	188ms	$t(31) = 3.6$	$p < 0.005$	$t(47) = 2.4$	$p < 0.05$
LOCATION	77ms	$t(31) < 1.0$	n.s.	$t(47) < 1.0$	n.s.

PAT vs. LOC penalty pairwise  $t$ -test: by subjects,  $t(31) = 1.7$  *n.s.*; by items,  $t(47) < 1.0$  *n.s.*

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Experiments 2-3 confirm findings of Experiment 1 showing that both syntactic and semantic prominence contribute to salience of discourse referents.

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Mild preference for PATIENT in SPLIT condition suggests two possibilities

- semantic prominence is more important than syntactic prominence, or
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## Experiment 4

Reading time measurements with non-*tough* and *tough*-constructions

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- |     |   |         |
|-----|---|---------|
| a.  | Nancy <sub>i</sub> <sup>★</sup> could easily beat Susan <sub>j</sub> .  | CONTROL |
| a'. | Susan <sub>j</sub> <sup>★</sup> was easy for Nancy <sub>i</sub> <sup>★</sup> to beat $\emptyset$ <sub>j</sub> . | SPLIT   |
| b.  | She ...   |         |

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| a'. | Susan <sub>j</sub> <sup>★</sup> was easy for Nancy <sub>i</sub> <sup>★</sup> to beat $\emptyset_j$ . | SPLIT   |
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Results:

- In CONTROL condition, strong preference for AGENT continuation

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| b.  | She ...  |         |

Results:

- In CONTROL condition, strong preference for AGENT continuation
- in SPLIT condition, no greater preference for either continuation

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AGENT > PATIENT > LOCATION

- Difference between SUBJECTs and OBJECTs larger than difference between OBJECTs and OBLIQUEs

SUBJECT >> OBJECT > OBLIQUE



## Further Work

Continued investigation of relative influence of syntactic and semantic prominence

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- Add additional salience index: semantic role
- Determine role set
- Determine (relative) weightings of the semantic roles
- Determine semantic role of noun phrases in source text

## **RAP: semantic role**

Salience Index of candidate NPs is determined from several sub-indices:

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- grammatical role
- grammatical parallelism
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- recency
- semantic role

## RAP: role set

Desired: an inventory of semantic roles

- Jackendoff (1972, 1987, 1990)
- Grimshaw (1990)
- Gruber (1965)
- Palmer (1994)
- Fillmore (1968, 1976) (Frame Semantics)

## **RAP: role weightings**

Determine relative weights for every role in the inventory.



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Determine relative weights for every role in the inventory.

These weightings contribute directly to the semantic role index and combine with other indices to determine overall salience index.

## **RAP: role recovery module**

There must be some method to recover the semantic role information in the source text.

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There must be some method to recover the semantic role information in the source text.

Gildea and Jurafsky (2001) suggest a probabilistic algorithm for determining semantic role based on the FrameNet (Baker et al., 1998) system of roles. Accuracy Rate: 82%

# Conclusion

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# Conclusion

- Presented data on the psycholinguistic reality of semantic prominence
- Discussed how syntactic and semantic prominence interact with each other as factors contributing to discourse salience
- Considered how the psycholinguistic data may inform computational linguistic models



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## Experiment 4: Results

